



Attorney Docket No.: CSCO-3809

Ref 2643 #

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): DePaolantonio, J.

Application No.: 09/828,022 Group Art Unit: 2643

Filed: April 6, 2001 Examiner: Taylor, Barry W.

Title: OPTICAL TRANSPORT CONCENTRATOR AUDIT SYSTEM AND METHOD

Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(c)

The citations referenced herein, copies attached, may be material to the examination of the above-identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(c) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

Pat. No.	Pat. Title	Grant Date
5,367,394	Test Apparatus	11/22/1994
5,561,542	Optical Communication System And Method For Performing Communication Under Clock Control	10/01/1996
5,663,819	Opto-Coupler Based Secure Computer Communications Interface	09/02/1997
5,968,122	Method for Propagating Between Views of Connection Object Status in Network	11/22/1994
5,995,256	Method and System for Managing Optical Subcarrier Reception	11/30/1999
6,026,500	Method and System for Managing Computer Systems	02/15/2000
6,072,609	Optical Signal Processing Apparatus	06/06/2000
6,154,273	Wavelength Dispersion Measurement Apparatus And A Method Thereof	11/28/2000
6,233,074 B1	Ring Networks Utilizing Wave Division Multiplexing	05/15/2001
6,344,910 B1	Optical Performance Monitor	02/05/2002
6,377,725 B1	Optical Wavelength Division Multiplexed Interconnect System Providing Autonomous Information Selection and Prioritization	04/23/2002
6,470,385 B1	Network Monitoring System, Monitored Controller, and Monitoring Controller	10/22/2002
6,529,473 B1	Device for ATM Cell Switching, with Failure Tolerance	03/04/2003
6,583,901 B1	Optical Communications System With Dynamic Channel Allocation	06/24/2003
6,584,535 B1	Configurable serial Interconnection	06/24/2003
6,678,475 B1	Method of Transmitting A Concatenated Signal	01/13/2004

10/05/2004 CNGUYEN 00000038 09828022

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180.00 OP

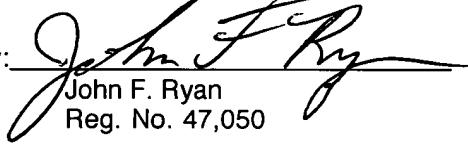
Pat. Application No.	Patent Application Title	Published Date
2001/0012141 A1	Optical Path Managing Device In An Optical Transmission Network And A Method Thereof	08/09/01
2002/0069275 A1	Global GUI Interface For Network OS	06/06/02

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060  
Customer No: 000045593

Respectfully submitted,

Date: September 30, 2004

By:   
John F. Ryan  
Reg. No. 47,050



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date of deposit.

Date of Deposit:	9/30/04	Name of Person Making the Deposit:	Kerry Erin Kelly	Signature of the Person Making the Deposit:
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Inventor(s): **DePaolantonio, J.**

Application No.: **09/828,022** Group Art Unit: **2643**

Filed: **April 6, 2001** Examiner: **Taylor, Barry W.**

Title: **OPTICAL TRANSPORT CONCENTRATOR AUDIT SYSTEM AND METHOD**

Commissioner for Patents  
P.O. Box 1450  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF  
EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE § (37 C.F.R. 1.97(c))**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under § 1.113 or
  - (2) a notice of allowance under § 1.311.

**STATEMENT OR FEE**

2. Accompanying this transmittal is

A.  a statement as specified in 37 C.F.R. § 1.97(e).

or

B.  the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

**FEE PAYMENT**

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under 1.97(c) (\$180.00).

Fee due \$ 180.00

**METHOD OF PAYMENT OF FEE**

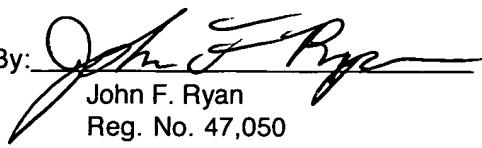
4.  Enclosed is a check for \$180.00.
- The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.
- Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Patent Application**Inventor(s): **DePaolantonio, J.**Application No.: **09/828,022** Group Art Unit: **2643**Filed: **April 6, 2001** Examiner: **Taylor, Barry W.**Title: **OPTICAL TRANSPORT CONCENTRATOR AUDIT SYSTEM AND METHOD****Form 1449****U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub class	Filing Date
	A	5,367,394	11/22/1994	Chuter et al	359	110	
	B	5,561,542	10/1/1996	Kosugi et al	359	118	12/2/1994
	C	5,663,819	9/2/1997	Lewis	359	118	5/21/1995
	D	5,968,122	10/19/1999	Schlosser et al	709	223	3/31/1997
	E	5,995,256	11/30/1999	Fee	359	125	9/30/1997
	F	6,026,500	02/15/2000	Topff et al	714	26	5/13/1997
	G	6,072,609	6/6/2000	Masuda	359	110	3/24/1997
	H	6,154,273	11/28/2000	Suzuki	356	73.1	3/17/1999
	I	6,233,074 B1	5/15/2001	Lahat et al	359	118	5/18/1998
	J	6,344,910 B1	2/5/2002	Cao	359	110	9/23/1999
	K	6,377,725 B1	4/23/2002	Stevens et al	385	24	4/10/2000
	L	6,470,385 B1	10/22/2002	Nakashima et al	709	224	8/20/1998
	M	6,529,473 B1	3/4/2003	Bavant et al	370	217	10/10/1997
	N	6,583,901 B1	6/24/2003	Hung	359	124	6/24/2003
	O	6,584,535 B1	6/24/2003	Oullet	710	305	1/31/2000
	P	6,678,475 B1	1/13/2004	Turban et al	398	79	1/13/2004

**Published U.S. Patent Applications**

	O	2001/0012141 A1	8/9/2001	Takai et al	359	110	Published 8/9/2001
	P	2002/0069275 A1	6/6/2002	Tindal	709	223	Published 6/6/2002

Examiner	Date Considered
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.